

520.38682CX2

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: S. NAKAYAMA, et al

Serial No.: Not yet assigned

Filed: August 4, 2003

For: STORAGE SUBSYSTEM AND STORAGE CONTROLLER

Group: 2182

Examiner: A. Elamin

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §1.97 & 1.98**

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

August 4, 2003

Sir:

In the matter of the above-identified application, this Information Disclosure Statement is being submitted with the following citation as specified in 37 CFR §1.97(d).

"A copy of any patent, publication or other information listed in an Information Disclosure Statement is not required to be provided if it was previously cited by or submitted to the Office in a prior application, provided that the prior application is properly identified in the statement and relied upon for an earlier filing date under 35 U.S.C. §120."

Applicant(s) are submitting herewith a copy of Form PTO-1449 which list documents cited in parent application(s) Serial No. 09/608,151, filed June 30, 2000.

It is respectfully requested that this information disclosure statement be considered by the Examiner.

Please charge any shortage in the fees due in connection with the filing of this paper, including extension of time fees, to the deposit account of Antonelli, Terry, Stout & Kraus Deposit Account No. 01-2135 (520.38682CX2) please credit any excess fees to such deposit account.

Respectfully submitted,



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**FORM PTO-1449** U.S. Department of  
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**INFORMATION DISCLOSURE  
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**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER								DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4	9	1	4	6	5	6		4/90	Dunphy, Jr.			
	4	9	8	9	2	0	5		1/91	Dunphy, Jr.			
	5	4	6	9	5	6	4		11/95	Junya			
	5	0	7	7	7	3	6		12/91	Dunphy, Jr.			
	5	7	4	8	9	2	4		5/98	Llorens et al			
	5	6	5	7	4	4	5		8/97	Pearce			
	5	6	5	1	1	3	9		7/97	Cripe et al			
	5	6	4	4	7	8	9		7/97	Matthews et al			
	5	6	2	3	6	3	7		4/97	Jones et al			
	5	6	1	0	7	4	6		3/97	Ranalli			
	5	5	4	8	7	8	3		8/96	Jones et al			

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER								DATE	COUNTRY	CLASS	SUBCLASS	ABSTRACT		
														YES	NO	
	0	1	1	8	1	1	3	9	7/99	Japan					XX	
	0	3	1	0	5	4	1	9	5/91	Japan					XX	
	0	3	1	5	2	6	5	0	6/91	Japan					XX	
	0	5	1	8	1	6	0	9	7/93	Japan					XX	
	0	5	2	2	5	0	6	8	9/93	Japan					XX	
	0	5	3	2	4	4	4	5	12/93	Japan					XX	

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**


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		5	5	3	3	1	2	5	7/96	Bensimon et al			
		5	5	2	8	5	8	4	6/96	Grant et al			
		5	2	9	7	2	6	8	3/94	Lee et al			
		5	2	8	2	2	4	7	1/94	McLean et al			
		5	2	7	4	7	8	3	12/93	House et al			
		5	2	3	9	6	3	2	8/93	Larner			
		5	2	3	7	6	6	8	8/93	Blandy et al			
		5	2	1	0	8	4	4	5/93	Shimura et al			
		5	1	6	3	0	9	6	11/92	Clark et al			
		5	1	2	4	9	8	7	6/92	Milligan et al			
		5	9	1	3	2	2	7	6/99	Raz et al			

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		YES	NO												
		0	6	2	1	4	8	6	3	8/94	Japan				XX
		6	3	2	5	3	4	4	8	10/88	Japan				XX
		0	6	0	9	5	8	5	9	4/94	Japan				XX
		0	8	2	5	1	1	0	1	9/96	Japan				XX
		0	8	1	1	5	2	7	9	5/96	Japan				XX

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	5	8	9	4	4	8	1	4/99	Book			
	5	8	7	2	8	2	2	2/99	Bennett			
	5	8	4	8	2	5	1	12/98	Lomelino et al			
	5	8	3	5	4	9	6	11/98	Yeung et al			
	5	8	1	2	7	5	4	9/98	Lui et al			
	5	8	0	9	3	2	8	9/98	Nogales et al			
	5	8	0	5	9	2	0	9/98	Sprengle et al			
	5	8	0	5	8	0	0	9/98	Kotani et al			
	5	7	6	8	6	2	3	6/98	Judd et al			
	5	7	6	8	5	3	0	6/98	Sandorfi			
	6	2	1	9	7	7	7	4/01	Kikuchi et al			

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